

Circular No.: NSDL/POLICY/2026/0096

June 30, 2026

Subject: Submission of Self-Declaration for Cyber Audit Report (For Self-RE and REs exempted from CSCRf)

Attention of Participants is invited to Annexure P of SEBI Circular SEBI/HO/ITD-1/ITD_CSC_EXT/P/CIR/2024/113 dated August 20, 2024 (refer NSDL Circular No. NSDL/POLICY/2024/0118 dated August 27, 2024) regarding submission of self-declaration under applicable CSCRf provisions for Cyber Audit. Reference is also invited to SEBI Circular SEBI/HO/ITD-1/ITD_CSC_EXT/P/CIR/2025/60 dated April 30, 2025 (refer NSDL Circular No. NSDL/POLICY/2025/0060 dated May 12, 2025) for eligibility of REs applicable to DPs for submission of self-declaration.

In this regard, Participants are requested to submit the self-declaration for Cyber Audit through email for the eligible categories as provided in the table below.

A copy of the self-declaration shall be emailed to dpaudit@nsdl.com and NSDL-BP-INSPECTION@nsdl.com.

The reporting format for the self-declaration is enclosed as Annexure A to this circular.

	Res exempted from CSCRf	Self-certification Res
Rational for the category	The number of active clients is less than 1000	Number of total registered clients (More than 1,000 and up to 10,000) -Clientele trading volume in a year (in Crores) More than 1,000 and up to 10,000

For any clarifications in this regard, Participants may write to dpaudit@nsdl.com or contact us at (022- 42165062) (022-42165038). Participants are requested to take note of above circular and ensure compliance.



**For and on behalf of
National Securities Depository Limited**

**Rakesh Mehta
Vice President**

Enclosure: One

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10th of the following month	Through e-PASS	Para 22 of 'Grievance Redressal' chapter and Para 27 of 'Internal Controls/Reporting to NSDL/SEBI' chapter of NSDL Master Circular for Participants
Compliance report w.r.t Same Mobile number and/ or email address captured for multiple accounts. (Monthly)	Before 27th of following month	Through Email.	Para 26 of 'Miscellaneous' chapter of NSDL Master Circular for Participants.
Artificial Intelligence /Machine Learning Reporting Form (Annually)	Within three months of the end of the financial year	Through e-PASS	Para 10 of 'Internal Controls/Reporting to NSDL/SEBI' chapter of NSDL Master Circular for Participants
Annual System Audit Report (yearly)	June 30 th	Through e-PASS	Para 20.5 of 'Internal Controls/Reporting to NSDL/SEBI' chapter of NSDL Master Circular for Participants
Annual Cyber Audit Report (yearly)	June 30 th	Through e-Pass	Para 2.76 of 'Internal Controls/Reporting to NSDL/SEBI' chapter of NSDL Master Circular for Participants and Circular No.: NSDL/POLICY/2026/0074 dated May 12, 2026


National Securities Depository Limited

3rd Floor, Naman Chambers, Plot C-32, G-Block, Bandra Kurla Complex, Bandra East, Mumbai - 400 051, Maharashtra, India.
 Tel: 91-22-6944 8400 / 69448500 | email: info@nsdl.com | Web: www.nsdl.co.in
 Corporate Identity Number: L74120MH2012PLC230380

Annexure-A: Reporting Format for Self-Declaration**REPORTING FORMAT FOR SELF-CERTIFICATION REs TO SUBMIT THEIR COMPLIANCE WITH
APPLICABLE CSCRF PROVISIONS****NAME OF THE ORGANISATION:****ENTITY TYPE:****ENTITY CATEGORY:****RATIONALE FOR THE CATEGORY: <>****PERIOD: <>****RE's Authorised signatory declaration:**

I/ We hereby confirm that implementation of all applicable CSCRF provisions have been verified by me/ us and I/ We shall take the responsibility and ownership of this self-certification.

Signature:

Name of the signatory:

Designation (choose whichever applicable):

Company stamp:

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